

# NTS2 Delivery Plan Impact Assessments Consultation

## Introduction

Scotland's National Transport Strategy 2 (NTS2) sets out the vision for Scotland's transport system to the year 2040. The NTS2 outlines the four priorities for the transport system:

- Reduces inequalities;
- Takes climate action;
- Helps deliver inclusive economic growth; and
- Improves our health and wellbeing.

The first NTS2 Delivery Plan sets out strategic policies and the broad actions the Scottish Government (SG) is taking to deliver on its vision and priorities to the end of March 2022, taking account of the impact of the COVID-19 pandemic. Impact assessments are being undertaken on 37 strategic policies within the NTS2 Delivery Plan and we would like to get your views on the initial screening of impacts through this consultation survey. The impact assessments are:

- Social and Equality Impact Assessment (SEQIA (incorporating an Equality Impact Assessment (EqIA), Children's Rights and Wellbeing Impact Assessment (CRWIA), Fairer Scotland Duty Assessment (FSDA)).
- Island Communities Impact Assessment (ICIA)
- Health Inequality Impact Assessment (HIIA)
- Business Regulatory Impact Assessment (BRIA)

We have examined the need to undertake a Data Protection Impact Assessment of the NTS2 Delivery Plan and have concluded that any data protection issues were being fully addressed as part of individual policies and therefore an overall assessment of data protection impacts on strategic policies would not be required.

## Responding to this Consultation

We are inviting responses to this consultation between Wednesday 3<sup>rd</sup> November 2021 and Wednesday 5<sup>th</sup> January 2022.

Please respond to this consultation using the Scottish Government's consultation hub, [Citizen Space](#). You can save and return to your responses while the

consultation is still open. Please ensure that consultation responses are submitted before the closing date of Wednesday 5<sup>th</sup> January 2022.

You do not need to answer all the questions in the survey, unless you choose to. We know that different people will be interested in different assessments and the survey is set out to allow you to give your views on the overall screening of impacts and also to comment on the specific strategic policies you are most interested in.

You can use the links below to skip to the areas you are interested in, and just answer the questions on those sections.

When you click a link you will be taken to the questions within the section. Once you have completed the relevant questions on the page you can select 'Save and come back later...' or 'Continue'.

As this is a long consultation we recommend that you use the 'Save and come back later' feature frequently as you go through the survey. This allows you to leave and return to the consultation at a later date without losing the information you have already entered as part of your response. **You will need to keep a note of your reference number to return to your response.** If the response has not been submitted prior to the consultation closing the response will be lost.

'Continue' will return you to this page. You can choose to complete another section or complete the required 'About you' page. Once you have completed all the questions that you want to, you can submit your response using the "Finish" button that will appear at the bottom of the page.

**If you are unable to respond using the Citizen Space hub, you can alternatively send your response by email or post:**

By post: please download and complete this Survey Form and the Respondent Information Form from the Supporting Files section and post your completed forms to:

**NTS2 Impact Assessment Team  
AECOM  
Aurora, 7th Floor  
120 Bothwell Street  
Glasgow, G2 7JS**

By email: please download the and complete this Survey Form and the Respondent Information Form from the Supporting Files section return these to [nts2impactassessments@aecom.com](mailto:nts2impactassessments@aecom.com) as attachments.

To request a paper version of this survey please email: [nts2impactassessments@aecom.com](mailto:nts2impactassessments@aecom.com)

Easy Read and Gaelic formats of this consultation are also available and other accessible formats can be arranged upon request.

## Handling your response

If you respond using the consultation hub, you will be directed to the About You page before submitting your response. Please indicate how you wish your response to be handled and, in particular, whether you are content for your response to be published. If you ask for your response not to be published, we will regard it as confidential, and we will treat it accordingly.

All respondents should be aware that the Scottish Government is subject to the provisions of the Freedom of Information (Scotland) Act 2002 and would therefore have to consider any request made to it under the Act for information relating to responses made to this consultation exercise.

To find out how we handle your personal data, please see our [privacy policy](#).

## Next steps in the process

Following the closing date of **Wednesday 5th January 2022**, all responses will be analysed and a consultation report will be produced which will summarise the key findings. Where respondents have given permission for their response to be made public, and after we have checked that they contain no potentially defamatory material, responses will be made available to the public at our [consultation hub](#). If you use the consultation hub to respond, you will receive a copy of your response via email.

The findings from the consultation will be considered along with other available evidence and used to undertake the final impact assessments for the NTS2 Delivery Plan 2020-2022. The responses will also support in developing actions and informing the NTS2 Delivery Plan 2022-2023 and beyond.

## Comments and complaints

If you have any comments about how this consultation exercise has been conducted please send them directly to [NTS2@transport.gov.scot](mailto:NTS2@transport.gov.scot).

## Scottish Government consultation process

Consultation is an essential part of the policymaking process. It gives us the opportunity to consider your opinion and expertise on a proposed area of work.

You can find all our consultations [online](#). Each consultation details the issues under consideration, as well as a way for you to give us your views, either online, by email or by post.

Responses will be analysed and used as part of the decision making process, along with a range of other available information and evidence. We will publish a report of this analysis for every consultation. Depending on the nature of the consultation exercise the responses received may:

- indicate the need for policy development or review
- inform the development of a particular policy
- help decisions to be made between alternative policy proposals
- be used to finalise legislation before it is implemented

While details of particular circumstances described in a response to a consultation exercise may usefully inform the policy process, consultation exercises cannot address individual concerns and comments, which should be directed to the relevant public body.

## Social and Equality Impact Assessment Consultation

We would like to get your views on the Social and Equality Impact Assessment (SEQIA) screening which was undertaken for the strategic policies included in the NTS2 Delivery Plan. The SEQIA encompasses:

- An Equality Impact Assessment;
- A Fairer Scotland Duty Assessment; and
- A Child Rights and Wellbeing Impact Assessment

We have developed a SEQIA screening report identifying key potential impacts on the groups covered under the above assessments impacts and associated with the 2020-22 Delivery Plan. This includes a provisional SEQIA score which has been assigned to each of the strategic policies. We are seeking your views on these impacts and also what should be included in next year's Delivery Plan.

**S1) Taking into account the SEQIA screening, do you think there are any impacts we have not identified for those living in poverty or facing socio-economic disadvantage? This includes both positive and negative impacts.**

Yes / No / Don't Know

Please provide detail on the impacts:

**S2) Taking into account the SEQIA screening report, do you think the strategic policies within the NTS2 Delivery Plan 2020-2022 will support efforts to reduce child poverty for the priority groups most at risk\*?**

\*priority groups include lone-parent families, a household where someone is disabled, families with three or more children, minority ethnic families, families with a child under one year old and families where the mother is under 25 years of age

Yes / No / Don't Know
Please explain the reasons for your answer:

**S3) Taking into account the SEQIA screening report, do you think there are any impacts we have not identified for children and young people? This includes both positive and negative impacts.**

Yes / No / Don't Know
Please provide detail on the impacts:

**S4) Taking into account the SEQIA screening report, do you think there are any impacts we have not identified on the protected characteristic groups (e.g. age, disability, race, sex, pregnancy and maternity, sexual orientation, gender reassignment or religion or belief?) This includes both positive and negative impacts.**

Yes / No / Don't Know

Please provide detail on the impacts:

**S5) What further actions do you think should be included in future NTS2 Delivery Plans?**

**S6) Would you like to comment on any of the specific policies contained within the NTS2 Delivery Plan 2020-2022?** *Please see the breakdown of policies and their provisional scores in the 'References and Scoring' document.*

Please write the reference numbers of each policy to help us identify which one you are referring to. (If you wish to comment on scoring, please respond at Question S6a)



**S6a) Do you agree with the provisional SEQIA score assigned to the policy (or policies) that you have commented on?**

SEQIA screening scoring criteria:

- **Major Positive Effect (++):** The action contributes significantly to meeting the requirements of Public Sector Equality, Fairer Scotland Duty and Children and Young People’s Act.
- **Minor Positive Effect (+):** The action contributes to meeting the requirements of Public Sector Equality, Fairer Scotland Duty and Children and Young People’s Act, but not significantly
- **Neutral/ Negligible Effect (0):** There is no clear relationship between the action and the Public Sector Equality, Fairer Scotland Duty and Children and Young People’s Act or the relationship is negligible
- **Minor Negative Effect (-):** The action detracts from meeting the requirements of Public Sector Equality, Fairer Scotland Duty and Children and Young People’s Act., but not significantly
- **Major Negative Effect (- -):** The action detracts significantly from meeting the requirements of Public Sector Equality, Fairer Scotland Duty and Children and Young People’s Act. Mitigation is therefore required
- **Uncertain Effect (?):** The action has an uncertain relationship to the Public Sector Equality, Fairer Scotland Duty and Children and Young People’s Act, or the relationship is dependent on the way in which the aspect is managed. In addition, insufficient information may be available to enable an assessment to be made.

Please include the reference number for each policy you wish to comment on:

Policy Reference	Agree with provisional scoring? (Yes/No/Don’t Know)


**S6b) In your opinion, what should the SEQIA score be for the policy (or policies) that you have commented on?**

Please include the reference number for each policy you wish to comment on:

<b>Policy Reference</b>	<b>Score in your opinion</b>


**S7) Please leave any additional comments on the assessment for the policy (or policies) that you have commented on.** Include whether you think the policy could be improved, adjusted or if an alternative approach could be taken.

Please include all reference numbers with your comments:

Policy Reference	Comments


# Health Inequalities Impact Assessment Consultation

A screening of impacts on health inequalities has been undertaken and provides a high-level assessment informed by a consideration of the key issues and evidence as well as feedback from Transport Scotland and other Scottish Government officers. The HIIA screening report considers how each strategic policy has the potential to impact on health inequalities. A provisional HIIA score has been assigned to each of the strategic policies. The HIIA screening report identifies key potential impacts associated with the 2020-22 Delivery Plan. We are seeking your views on these impacts as well what actions should be included in next year's Delivery Plan.

**H1) Taking into account the HIIA screening, do you think there are any health inequality impacts we have not identified?** For example, do you think there are any impacts on any of the particular groups below?

Those vulnerable to falling into poverty including:

- Unemployed
- People on benefits
- Single parents
- At risk families e.g. young mothers, people experiencing domestic abuse, children at risk of statutory measures
- Looked after children and young people
- Those leaving care settings (including children and young people and those with illness)
- Homeless people
- Carers (including young carers and carers with protected characteristics)
- Those involved in the criminal justice system
- People with low literacy/numeracy
- People misusing substances
- Others e.g. veterans and students

Geographical Communities including:

- Rural/semi-rural communities
- Urban Communities
- Coastal communities
- Island communities

Business Communities and staff including:

- Full-time and part-time workers
- Shift workers
- Staff with protected characteristics
- Staff vulnerable to falling into poverty

Yes / No / Don't Know

Please provide detail on the impacts:

**H2) Taking into account the HIIA screening, do you think there are any vulnerable groups we have not identified?**

Yes / No / Don't Know

Please provide detail on the impacts:

**H3) Taking into account the HIIA screening report, to what extent do you think the strategic policies within the NTS2 Delivery Plan 2020-2022 will impact on access to healthcare, in particular in relation to the protected characteristics? (e.g. age, disability, race, sex, gender reassignment, or religion or belief or those from other vulnerable groups).**

Yes / No / Don't Know
Please give reasons for your response:

**H4) Taking into account the HIIA screening report, do the strategic policies have a significant impact on air quality, particularly for those where air quality is poorer, for example in deprived communities?**

As well as causing adverse impacts on climate change, our transport system has negative impacts on our air quality. Transport generates just over one-sixth of Scotland's total particulate matter (PM10) and over one-third of the total emissions of nitrogen oxides (NOx). The majority of these emissions are caused by road transport.

Emissions of NOx from road transport are reducing but not at the anticipated rate. Between 2006 and 2016, transport emissions of NOx52, PM1053, and PM2.554 declined by 37%, 48%, and 54% respectively.

Despite these falls, transport, and road transport in particular, remains a significant contributor to poor air quality. Air pollution increases the risks of diseases such as asthma, respiratory and heart disease, particularly for those who are more vulnerable such as the very young and the elderly or those with existing health conditions. In addition, air quality is often worse in areas of deprivation and is a health inequality issue. In 2010, fine particulate matter was associated with around 2,000 premature deaths in Scotland and around 22,500 lost life-years across the population

Yes / No / Don't Know

Please give reasons for your response:

**H5) Do strategic policies within the NTS2 Delivery Plan impact positively or negatively on access to a healthy diet, for example, through increasing access to shops, markets and supermarkets with greater availability and affordability of healthier foods?**

Yes / No / Don't Know

Please give reasons for your response:



**H6) Do you think that the strategic policies within the NTS2 Delivery Plan will positively or negatively impact on the social environment?**

For example, what impact does it have on:

- Social status
- Employment (paid or unpaid)
- Income & income inequality
- Crime & fear of crime
- Family support and social networks
- Stress, resilience & community assets
- Participation & social interaction
- Influence & sense of control
- Identity and belonging

Yes / No / Don't Know
Please give reasons for your response:

**H7) What further actions would you like to see included in future NTS2 Delivery Plans?**

Please add details here:

**H8) Would you like to comment on any of the specific policies contained within the NTS2 Delivery Plan 2020-2022?** *Please see the breakdown of policies and their provisional scores in the 'References and Scores' document.*

Please write the reference numbers of each policy to help us identify which one you are referring to. (If you wish to comment on scoring, please respond at Question H8a.)

**H8a) Do you agree with the provisional HIIA score assigned to the policy (or policies) that you have commented on?**

HIIA screening scoring criteria:

- **Major Positive Effect (++):** The policy has the potential to contribute significantly to reducing health inequalities
- **Minor Positive Effect (+):** The policy has the potential to contribute to reducing health inequalities, but not significantly
- **Neutral/ Negligible Effect (0):** There is no clear relationship between the policy and reducing health inequalities, or the relationship is negligible
- **Minor Negative Effect (-):** The policy has the potential to detract from reducing health inequalities, but not significantly
- **Major Negative Effect (- -):** The policy has the potential to detract from reducing health inequalities. Mitigation is therefore required
- **Uncertain Effect (?):** The policy has an uncertain relationship to health inequalities / the relationship is dependent on the way in which the aspect is managed / insufficient information is available to enable an assessment to be made.

Please include all reference numbers with your comments:

Policy Reference	Agree with provisional scoring? (Yes/No/Don't Know)

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**S8b) In your opinion, what should the HIA score be for the policy (or policies) that you have commented on?**

Please include all reference numbers with your comments:

Policy Reference	Score in your opinion

**S9) Please leave any additional comments on the assessment for the policy (or policies) that you have commented on.** Include whether you think the policy could be improved, adjusted or if an alternative approach could be taken.

Please include all reference numbers with your comments:

Policy Reference	Comments

# Island Communities Impact Assessment Consultation

An Island Communities Impact Assessment (ICIA) assesses the impacts of policies, programmes and projects on island communities.

A screening of impacts on island communities has been undertaken and provides a high-level assessment informed by a consideration of the key issues and evidence as well as feedback from Transport Scotland and Scottish Government officers.

The ICIA screening report considers how each strategic policy has potential to impact island communities. A provisional ICIA score has been assigned to each of the strategic policies. The ICIA screening report identifies key potential impacts associated with the 2020-22 Delivery Plan. We are seeking your views on these impacts as well what actions should be included in next year's Delivery Plan.

**I1) Taking into account the ICIA screening, do you think there are any impacts we have not identified for island communities? This includes both positive and negative impacts.**

Yes / No / Don't Know
Please provide detail on the impacts:

**I2) Taking into account the ICIA screening report, do the strategic policies within the NTS2 Delivery Plan 2020-2022 effectively address the unique transport challenges faced by island communities?**

Yes / No / Don't Know
Please give explanations for your response.

**I3) What further actions would you like to see included in future NTS2 Delivery Plans?**

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**I4) Would you like to comment on any of the specific policies contained within the NTS2 Delivery Plan 2020-2022?** *Please see the breakdown of policies and their provisional scores in the 'References and Scores' document.*

Please write the reference numbers of each policy to help us identify which one you are referring to. (If you wish to comment on scoring, please respond at Question I4a.)

**I4a) Do you agree with the provisional ICIA score assigned to the policy (or policies) that you have commented on?**

ICIA screening scoring guide:

- **Major Positive Effect (++):** The policy has the potential to significantly support island communities, taking into account the National Islands Plan’s principles of fairness, integration, environmental protection (green) and inclusiveness
- **Minor Positive Effect (+):** The policy has the potential to support island communities, taking into account the National Islands Plan’s principles of fairness, integration, environmental protection (green) and inclusiveness, but not significantly
- **Neutral/ Negligible Effect (0):** The policy has no clear relationship with island communities or the relationship is negligible
- **Minor Negative Effect (-):** The policy may impede principles of fairness, integration, environmental protection (green) and inclusiveness for island communities and requires mitigation measures be put in place
- **Major Negative Effect (- -):** The policy significantly affects principles of fairness, integration, environmental protection (green) and inclusiveness. Mitigation is therefore required.
- **Uncertain Effect (?):** The action has an uncertain relationship to the Islands (Scotland) Act 2018 requirements. In addition, insufficient information may be available to enable an assessment to be made.

Please include all reference numbers with your comments:

Policy Reference	Agree with provisional scoring? (Yes/No/Don't Know)

**I4b) In your opinion, what should the ICIA score be for the policy (or policies) that you have commented on?**

Please include all reference numbers with your comments:

Policy Reference	Score in your opinion

**I5) Please leave any additional comments on the assessment for the policy (or policies) that you have commented on.**

Please include all reference numbers with your comments:

Policy Reference	Comments

# Business and Regulatory Impact Assessment Consultation

A BRIA helps to assess the likely costs, benefits and risks of any proposed primary or secondary legislation, voluntary regulation, codes of practice, guidance, or policy changes that may have an impact on the public, private or third sector.

A screening of impacts on businesses and regulators has been undertaken and provides a high-level assessment informed by evidence from Transport Scotland and other Scottish Government officers.

The BRIA screening report considers how each strategic policy has potential to impact on the public, private and third sector as well as consumers. The BRIA screening report identifies key potential impacts associated with the 2020-22 Delivery Plan. We are seeking your views on these impacts as well what actions should be included in next year's Delivery Plan.

More information on the BRIA process can be found in the [BRIA toolkit](#).

## **B1) Do you think that the NTS2 Delivery Plan 2020-22 will impact (positively or negatively) on individual businesses operating in Scotland?**

Yes / No / Don't Know
If 'Yes', please explain how businesses could be (positively or negatively) impacted:

**B2) Do you think that the NTS2 Delivery Plan 2020-22 will impact on micro and small firms specifically?**

Yes / No / Don't Know
If 'Yes', please explain how micro and small businesses could be (positively or negatively) impacted:

**B3) Do you think that the NTS2 Delivery Plan 2020-22 is likely to change the level of competition for businesses?**

Yes / No / Don't Know
If 'Yes', please explain how the level of competition could be (positively or negatively) impacted:

**B4) Do you think that the NTS2 Delivery Plan 2020-22 will impact (positively or negatively) on consumers?** We would be looking to capture impacts around, for example, the availability of legal aid or the potential for increased opportunities for third parties to take advantage of vulnerable consumers.

Yes / No / Don't Know

If 'Yes', please explain how consumers could be (positively or negatively) impacted:

**B5) Do you think that the NTS2 Delivery Plan 2020-22 will impact (positively or negatively) on regulators?**

Yes / No / Don't Know

If 'Yes', please explain how regulators could be (positively or negatively) impacted:

**B6) Do you think that the NTS2 Delivery Plan 2020-22 will impact (positively or negatively) on local authorities?**

Yes / No / Don't Know

If 'Yes', please explain how local authorities could be (positively or negatively) impacted:



**B7) Do you think that the NTS2 Delivery Plan 2020-22 will impact organisations in the third sector?**

Yes / No / Don't Know
If 'Yes', please explain how organisation in the third sector could be (positively or negatively) impacted:

**B8) Do you think that the NTS2 Delivery Plan 2020-22 will impact any 'other' organisations?**

Yes / No / Don't Know
If 'Yes', please specify which other groups will be impacted:
If 'Yes', please explain how this other group will be impacted:

**B9) Are there any implications from changing digital technologies and markets that should be taken into account by the NTS2?**

Changes to policy, regulation or legislation can often have unintended consequences, should the Scottish Government fail to consider advances in technology and the impact this may have on future delivery. Consideration is needed as to whether any changes being made from the implementation of the strategic policies can still be applied effectively should business or government processes change – such as services moving online. We would like to know your views on the following:

- Does the strategic policy take account of changing digital technologies and markets?
- Will the strategic policy be applicable in a digital/online context?
- Is there a possibility the strategic policy could be circumvented by digital / online transactions?
- Alternatively, will the strategic only be applicable in a digital context and therefore may have an adverse impact on traditional or offline businesses?
- If the strategic policy can be applied in an offline and online environment will this in itself have any adverse impact on incumbent operators?

More information on the BRIA process can be found in the [BRIA toolkit](#)

Yes / No / Don't Know

If 'Yes', please explain your answer:

**B10) Would you like to comment on any of the specific policies contained within the NTS2 Delivery Plan 2020-2022?**

Please write the reference numbers of each policy to help us identify which one you are referring to.

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**B11) As part of the full BRIA there is a need to quantify impacts on businesses. Do you have any applicable information or evidence to support the quantification of impacts?**

Yes / No
If 'Yes', please provide a comment and submit any evidence to <a href="mailto:nts2impactassessments@aecom.com">nts2impactassessments@aecom.com</a> :